



#16/108/02

PATENT APPLICATION

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2800 MAIL ROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Ronald D. BLUM

Group Art Unit : 2873

Application No. : 09/602,013

Examiner : Jordan M. Schwartz

Filed : June 23, 2000

Docket No. : 10551/53

For : SYSTEM, APPARATUS AND METHOD FOR CORRECTING
VISION USING AN ELECTRO-ACTIVE LENS

INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The paragraphs marked below are applicable.

☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.

☐ 2. This Information Disclosure Statement is being filed with a Request for Continued Examination (RCE). No certification or fee is required.

☒ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

08/19/2002 CCHAU1 00000105 110600 09602013

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PTO/SB/21 (08-00)

28734

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/602,013

Filing Date June 23, 2000

First Named Inventor Ronald D. BLUM

Group Art Unit 2873

Examiner Name J. M. SCHWARTZ

Total Number of Pages in This Submission

Attorney Docket Number 10551/53

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (duplicate)

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s) (please identify below)

Documents listed on ID#

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Fred T. Grasso, Esq. (Reg. No. 43,644)
KENYON & KENYON

Signature

Date

August 16, 2002

CERTIFICATE OF MAILING

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/602,013
Filing Date June 23, 2000
First Named Inventor Ronald D. Blum
Examiner Name J.M. Schwartz
Group / Art Unit 2873
Attorney Docket No. 10551/53

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Deposit Account Number 11-0600					Fee Code Fee (\$)				
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<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					Fee Description				
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					Fee Paid				
2. <input type="checkbox"/> Payment Enclosed:									
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other									
FEE CALCULATION									
1. BASIC FILING FEE									
Large Entity Fee Code Fee (\$)									
Small Entity Fee Code Fee (\$)									
Fee Description									
Fee Paid									
101 740 201 370 Utility filing fee									
106 330 206 165 Design filing fee									
107 510 207 255 Plant filing fee									
108 740 208 370 Reissue filing fee									
114 160 214 80 Provisional filing fee									
SUBTOTAL (1) (\$)									
2. EXTRA CLAIM FEES									
Total Claims 53 -259 ** = 0									
Independent Claims 16 -22 ** = 0									
Multiple Dependent X									
Large Entity Fee Code Fee (\$)									
Small Entity Fee Code Fee (\$)									
Fee Description									
103 18 203 9 Claims in excess of 20									
102 84 202 42 Independent claims in excess of 3									
104 280 204 140 Multiple dependent claim, if not paid									
109 84 209 42 ** Reissue independent claims over original patent									
110 18 210 9 ** Reissue claims in excess of 20 and over original patent									
SUBTOTAL (2) (\$0)									
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SUBTOTAL (3) (\$ 180.00)									

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Fred T. Grasso	Registration No. Attorney/Agent	43,644	Telephone	(202) 220-4311
Signature				Date	August 16, 2002

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